RNS Number : 9860R
Genus PLC
10 November 2021

TR-1: Standard form for notification of major holdings

**1. Issuer Details**

**ISIN**

GB0002074580

**Issuer Name**

GENUS PLC

**UK or Non-UK Issuer**

UK

**2. Reason for Notification**

An acquisition or disposal of voting rights; An acquisition or disposal of financial instruments

**3. Details of person subject to the notification obligation**

**Name**

Ameriprise Financial, Inc.

**City of registered office (if applicable)**

Minneapolis

**Country of registered office (if applicable)**

United States

**4. Details of the shareholder**

**Full name of shareholder(s) if different from the person(s) subject to the notification obligation, above**

**City of registered office (if applicable)**

**Country of registered office (if applicable)**

**5. Date on which the threshold was crossed or reached**

08-Nov-2021

**6. Date on which Issuer notified**

09-Nov-2021

**7. Total positions of person(s) subject to the notification obligation**

| | % of voting rights | % of voting rights through financial | Total of | Total number of |
|---|---|---|---|---|

| | attached to shares (total of 8.A) | instruments (total of 8.B 1 + 8.B 2) | both in % (8.A + 8.B) | voting rights held in issuer |
|---|---|---|---|---|
| Resulting situation on the date on which threshold was crossed or reached | 5.447000 | 0.051000 | 5.498000 | 3615917 |
| Position of previous notification (if applicable) | 4.990000 | 0.000000 | 4.990000 | |

**8. Notified details of the resulting situation on the date on which the threshold was crossed or reached**

**8A. Voting rights attached to shares**

| Class/Type of shares ISIN code(if possible) | Number of direct voting rights (DTR5.1) | Number of indirect voting rights (DTR5.2.1) | % of direct voting rights (DTR5.1) | % of indirect voting rights (DTR5.2.1) |
|---|---|---|---|---|
| GB0002074580 | 5654 | 3576418 | 0.009000 | 5.438000 |
| Sub Total 8.A | 3582072 | | 5.447000% | |

**8B1. Financial Instruments according to (DTR5.3.1R.(1) (a))**

| Type of financial instrument | Expiration date | Exercise/conversion period | Number of voting rights that may be acquired if the instrument is exercised/converted | % of voting rights |
|---|---|---|---|---|
| Stock on Loan | | | 33845 | 0.051000 |
| Sub Total 8.B1 | | | 33845 | 0.051000% |

**8B2. Financial Instruments with similar economic effect according to (DTR5.3.1R.(1) (b))**

| Type of financial instrument | Expiration date | Exercise/conversion period | Physical or cash settlement | Number of voting rights | % of voting rights |
|---|---|---|---|---|---|
| | | | | | |
| Sub Total 8.B2 | | | | | |

**9. Information in relation to the person subject to the notification obligation**

2. Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entities (please add additional rows as necessary)

| Ultimate controlling person | Name of controlled undertaking | % of voting rights if it equals or is higher than the notifiable threshold | % of voting rights through financial instruments if it equals or is higher than the notifiable threshold | Total of both if it equals or is higher than the notifiable threshold |
|---|---|---|---|---|
| Ameriprise Financial, Inc. (Chain 1) | | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | Columbia Threadneedle Investments UK International Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, | BMO Global Asset Management | 0.000000 | 0.000000 | 0.000000% |

| | | | | |
|---|---|---|---|---|
| Inc. | (Europe) Limited | | | |
| Ameriprise Financial, Inc. | BMO Asset Management (Holdings) Plc | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | BMO AM Group (Holdings) Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | BMO AM Group (Management) Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | BMO AM Holdings Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | BMO Asset Management Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. (Chain 2) | | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | TAM UK International Holdings Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | Threadneedle Asset Management Oversight Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | Ameriprise International Holdings GmbH | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | Threadneedle Asset Management Holdings Sarl | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | Threadneedle Holdings Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | TAM UK Holdings Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | Threadneedle Asset Management Holdings Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | TC Financing Limited | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, | Threadneedle Asset Management | 0.000000 | 0.000000 | 0.000000% |

| | | | | |
|---|---|---|---|---|
| Inc. | Limited | | | |
| Ameriprise Financial, Inc. (Chain 3) | | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | Columbia Management Investment Advisors | 0.000000 | 0.000000 | 0.000000% |
| Ameriprise Financial, Inc. | Columbia Wanger Asset Management | 0.000000 | 0.000000 | 0.000000% |

**10. In case of proxy voting**

**Name of the proxy holder**

Ameriprise Financial. Inc.

**The number and % of voting rights held**

203,035; 0.309%

**The date until which the voting rights will be held**

24/11/2021

**11. Additional Information**

BMO Asset Management Limited is wholly owned by BMO AM Holdings Limited, which is wholly owned by BMO AM Group (Management) Limited, which is wholly owned by BMO AM Group (Holdings) Limited, which is wholly owned by BMO Asset Management (Holdings) Plc, which is wholly owned by BMO Global Asset Management (Europe) Limited, which is wholly owned by Columbia Threadneedle Investments UK International Limited, which is wholly owned by Ameriprise Financial, Inc.

Threadneedle Asset Management Limited is wholly owned by TC Financing Limited, which is itself wholly owned by Threadneedle Asset Management Holdings Limited, which is itself wholly owned by TAM UK Holdings Limited, which is itself wholly owned by Threadneedle Holdings Limited, which is itself wholly owned by Threadneedle Asset Management Holdings SARL, which is itself wholly owned by Ameriprise International Holdings GmbH, which is itself wholly owned by Threadneedle Asset Management Oversight Limited, which is itself wholly owned by TAM UK International Holdings Limited, which is itself wholly owned by Ameriprise Financial, Inc.

Columbia Wanger Asset Management, LLC is wholly owned by Columbia Management Investment Advisers, LLC, which is itself wholly owned by Ameriprise Financial, Inc.

**12. Date of Completion**

09-Nov-2021

**13. Place Of Completion**

Swindon, UK

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